Speech acts, interjections and emojis: Revisiting communicative cues

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Roadmap

- introducing face emojis
- the puzzle: illocutionary uses of face emojis
- the proposal:
 - analyzing expressive items as pragmatic cues
 - classifying face emojis as a class of expressives
 - steps towards an explanation of the illocutionary effects of face emojis

Facial expressions are central to human face-to-face communication, encoding emotive meaning among other things...



Photo by Andrea Piacquadio from Pexels

Facial expressions are central to human face-to-face communication, encoding emotive meaning among other things...

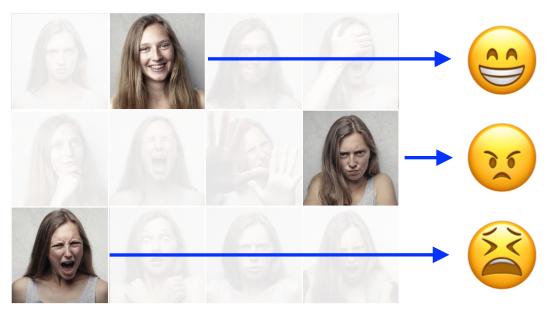


Photo by Andrea Piacquadio from Pexels

Overview on emojis: Bai et al 2019

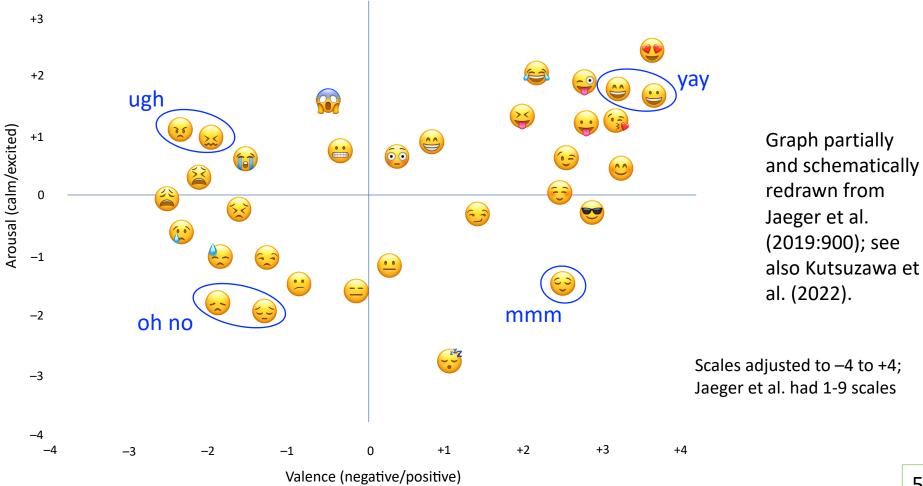
Emojis as gestures: Gawne & McCulloch 2019 Cohn et al. 2019

Emojis as pictures: Maier 2021

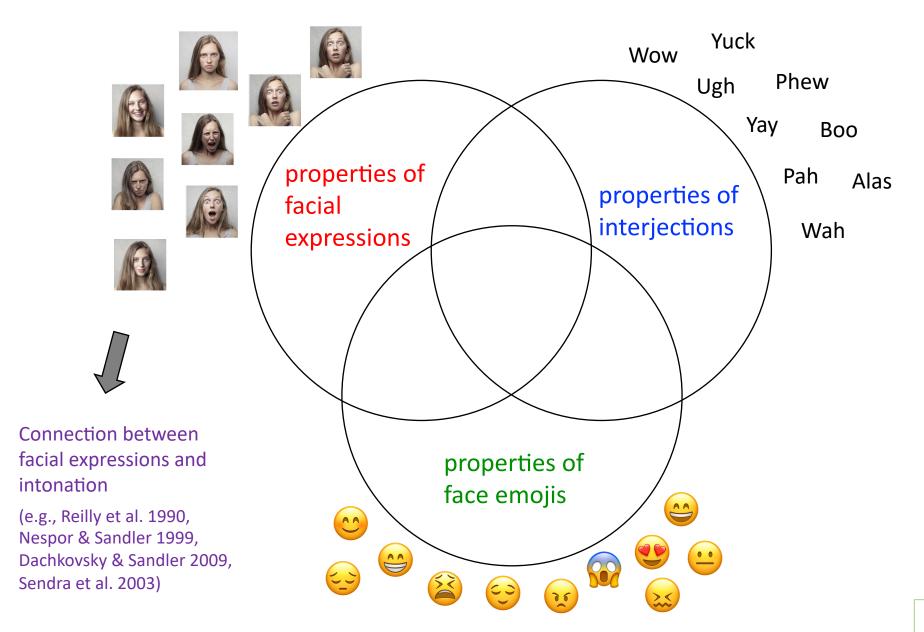
... Face emojis are a central component of digital communication. They are plausible online counterparts of speech-accompanying facial expressions.

Face emojis also share properties of interjections, $(1) \approx (2)$.

- (1)Yay, only two more to go! (Manning 1990)
- only two more to go 😜



Working hypothesis



Empirical method

 Step 1: constructed and naturally occurring examples are used to establish solid intuitions, which are captured by initial hypotheses (e.g. Grosz, Kaiser & Pierini 2021; Grosz, Greenberg, De Leon & Kaiser 2021)

- Step 2: hypotheses are tested experimentally (e.g. Kaiser & Grosz 2021 [*Proceedings of the LSA 6*])
- Results from our first two experiments confirm the validity of using constructed examples and introspective intuitions for emojis.
 - ⇒ Caveat: there are generational differences (see e.g. recent discussions about how Gen Z users use instead of).

Where do we find face emojis?

message-initial

tn indicates a naturallyoccurring example from twitter



discourse-initial

- That moment when u realise u didn't cook your egg enough...
- b. in response to another post / message
 - my bad sis.
- (t2) message-final:

itching for another tattoo 📛



- (t3) message-medial
 - a. sentence-medial

Extremely grateful that the local Health Clinic doesn't charge me for leaning my bike against this rail...

- b. inter-sentential (can be either sentence-final or sentence-initial) Well that's mad can't wait for tomorrow!
- message-surrounding:



Where do we find face emojis?

- - (t2) message-final:

itching for another tattoo 🐸





Several empirical studies show that emojis in actual use *frequently* follow the text that they comment on.

(e.g., Garrison et al. 2011, Novak et al. 2015, Al Rashdi 2015, Cramer et al. 2016, Sampietro 2016, Na'aman et al. 2017, Seyednezhad et al. 2018)

Other positions are *less frequent*.

Face emojis as illocutionary devices

Initial observations

- Prior to the introduction of emojis to a global market, Dresner & Herring (2010) observe that emoticons have illocutionary uses.
 - (3) I'm sick and tired all the time :)
 - → the writer is trying to soften a statement that might be perceived as a complaint
 - ≠ the writer is happy about being sick (examples and descriptions from Gawne & McCulloch 2019)
- For emojis, anecdotal evidence includes illocutionary uses:
 - (4) a. Come here please (5) a. I loved it (6) b. Come here please (9) b. I loved it (2)

(examples from a pop science piece on the Guardian, 23rd July 2019, Alex Hern, "Emojis can make us as happy as talking face to face. What's not to ♥?")

Mapping the interactions more systematically

- One area where face emojis seem to have a clear illocutionary effect is in connection with imperatives.
- Speech acts communicated by imperatives:

(6) a	. Read this!	COMMAND
(<i>O</i>) <i>a</i>	. INCAA CIIIS.	COMINAIN

- b. Stay away from the projector! WARNING
- c. Have fun at the party! WISH
- d. Turn off the light, please! REQUEST
- e. Take the A train if you want to go to Harlem! ADVICE
- f. (It starts at eight, but) come earlier if you like! PERMISSION
- g. All right, don't come then! (If you think you are so clever.)

 CONCESSIVE

(all examples cited from Kaufmann 2012:169)

Face emojis can disambiguate speech acts

Speech acts that seem to interact with face emojis:

```
call me 👿
                    (≈ you must call me) COMMAND/WARNING
                    (possibly similar: call me 🤗 / call me 😡)
     call me 😢
b.
                    (≈ please call me) REQUEST
                    (possibly similar: call me (2) / call me (4) / call me (5)
     call me 😌
                    (≈ go ahead and call me) PERMISSION/INVITATION
                    (possibly similar: call me 😘 / call me 😊)
     call me 🙄
d.
                    (≈ okay, call me if you must) Concessive
                    (possibly similar: call me (2))
```

Not exhaustive; not included on this slide: WISH and ADVICE.

Introducing Utilize Cues

Obligatory non-at-issue expressions

- Optative constructions require some marker, but any will do:
- (8) **Ach,** wenn er **doch nur wenigstens** rechtzeitig gekommen wäre! oh if he DOCH only at.least in.time come were 'Oh, if only he had at least come in time!'
- (9) a. ✓ Wenn er **doch** rechtzeitig gekommen wäre!
 - b. ✓ Wenn er **nur** rechtzeitig gekommen wäre!
 - c. ✓ Wenn er wenigstens rechtzeitig gekommen wäre!
 - d. ✓ **Ach,** wenn er rechtzeitig gekommen wäre!
 - e. # Wenn er rechtzeitig gekommen wäre! (No marker)

Grosz (2012, 2014): none of these markers *encode* optativity; they simply serve as *cues* for optativity.

... also in V1-exclamatives

 English V1-exclamatives require an interjection, but not a specific one; in fact, "a whistle or a sharp intake of breath" is enough (Pesetsky & Torrego 2001:411n39)

- (10) a. $\sqrt{}$ Oh, did we love them! (\approx how very much we loved them)
 - b. $\sqrt{\text{Boy}}$, did we love them!
 - c. $\sqrt{\text{Wow}}$, did we love them!
 - d. # Did we love them! (No marker)

Grosz (2014): Utilize Cues!

(11) Definition of (Speech Act) Cues

Linguistic expressions (such as interjections, particles, intonational tunes, mood marking, etc.) classify as speech act cues iff they

- (i.) are typical for a given use of an utterance, but they
- (ii) neither classify as a sufficient nor as a necessary condition for such a use.

(12) **Utilize Cues** (informal definition)

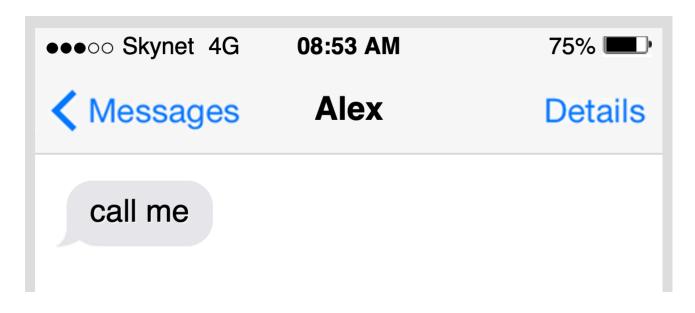
If (i.) an intended use of an ambiguous utterance has a low prior probability,

and (ii.) the utterance context does not independently make the intended utterance use prominent,

then cues are obligatory.

Brief aside: Are face emojis ever obligatory?

You be the judge:



For comparison:

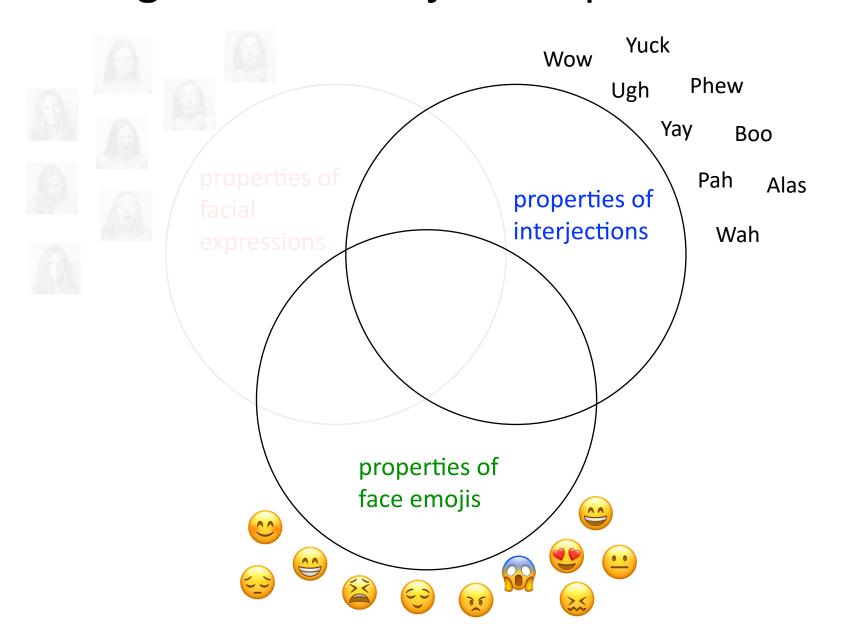


Expanding Utilize Cues to face emojis

- Cues for a speech act tend to be "truth-conditionally vacuous" elements, such as presupposition triggers or use-conditional items (in the sense of Gutzmann 2013).
- (13) **Ach,** wenn er **doch nur wenigstens** rechtzeitig gekommen wäre! oh if he DOCH only at.least in.time come were 'Oh, if only he had at least come in time!'
- In what follows, I argue that face emojis can also be analyzed as use-conditional items of this type, e.g., as follows.
- (14) $[\![\omega]\!]^{w,c} = \lambda p$: author_c is happy about p in w. p

Face emojis as a class of expressives

Zooming in: Face emojis as expressives



Independence (Potts 2007:166, Gutzmann 2013:37)

- Emoji content cannot be denied directly:
- (15) a. A: Webster is sleeping \odot
 - b. B1: No, he isn't.
 - c. B2: #No, you're not happy about it.

(Modeled after Gutzmann 2013:35, who builds on Jayez & Rossari 2004 and Potts 2007.)

- Emoji content does not affect truth-conditional content:
- (16) a. Context C: everybody knows that A is happy that it is sunny
 - b. A: it is sunny 😕
 - c. emoji content (use conditions): I am unhappy about it [= false in C]
 - d. truth conditions: true iff it is sunny [= true in C]

Non-displaceability and immediacy (Potts 2007:166-167)

- Emoji content (here: negative evaluation) cannot be shifted in time or denied from applying to the utterance context.
- (17) a. I overslept yesterday 😡
 - b. Nondisplaceability of emoji content
 - ... # But I'm not upset about it now, because I woke up on time today.
 - c. Immediacy of emoji content
 - ... # But I {am not expressing / did not express} negative feelings towards oversleeping.

Perspective dependence (Potts 2007:166)

- The content of expressive emojis is typically interpreted from the author's perspective, but it can shift. (See Kaiser & Grosz 2021.)
- (18) abigail brought dessert to emily \Leftrightarrow attitude holder: author
- With psych predicates, the perspective can shift towards an overtly expressed experiencer.
- (19) a. richie annoyed adrian =
 - b. daniel admires aaron 😊

- → attitude holder: Adrian
- → attitude holder: Daniel

(see, e.g., Amaral et al. 2007, Harris & Potts 2009, Lasersohn 2005, Kaiser 2015, and Kaiser & Herron Lee 2017, 2018)

Repeatability (Potts 2007:167)

- Face emojis are repeatable elements par excellence.
- (t20) What did they do? www.
- (t21) I keep accidentally reacting to ppls IG stories. They might think I \(\theta\) like \(\theta\) them \(\theta\)
- (t22) when we were kids my mum always used to make a salad for dinner on Sunday and we'd always be like "where's we the we stew w" and now I understand her.

 [anonymized twitter example]

Caveat: Repeatability has been questioned as a test for expressive content (Gutzmann 2013, McCready 2021).

Descriptive ineffability (Potts 2007:166)

Potts (2007:166): "Speakers are never fully satisfied when they paraphrase expressive content using descriptive, i.e., nonexpressive, terms."

With face emojis, even *expressive words* do not show complete equivalence.

Interjections are the closest counterparts, but they exhibit distributional differences, e.g., they can't freely occur with questions:

- (t23) a. Did you miss me? 😁
 - b. What did you buy?
- (24) a. # Did you miss me, yay? / # Yay, did you miss me?
 - b. # What did you buy, yay? / # Yay, what did you buy?

Caveat: descriptive ineffability is another property of expressives that has frequently been challenged (see, e.g., Geurts 2007:211, Gutzmann 2013:42).

Outline of a semantics of face emojis

A Semantics of Face Emojis

• Grosz, Greenberg, De Leon & Kaiser (to appear) [GGDK]:

(25) a.

you must be starving!

I've already eaten 😊

- b. For any author x and target p:
 - $[\odot] = \lambda x \lambda p . \{ w \mid x \text{ is happy about p in } w \}$
- c. p = the author has already eaten

first attempt

But ... context matters

you must be starving!

I've already eaten 😊

i just made chili tofu

oh

I've already eaten 😔

Same Situation – Different Emoji

• One central insight: face emoji with contradictory valence (vs.) can be used in the same situation.

GGDK: incorporate context via a discourse value V (a state of affairs that the author desires, aspires to, wishes for, or hopes for)

(26) a.

i just made chili tofu

I've already eaten 😔

- b. For any author x, target p, and value V:
 - $[] = \lambda x \lambda p \lambda V . \{ w \mid x \text{ is unhappy about how p bears on V at w} \}$
- c. V = the author eats chili tofu
- d. p = the author has already eaten
- e. pentails ~V

second attempt

Evidence for text-emoji interaction I

• Also from GGDK: Ordering effects indicate text-emoji interaction.

Emojis locally comment on immediately preceding propositions:

- (27) a. OK I'm really hungry i just ordered some food.
 - b. # I'm really hungry, just ordered some food. 😟
- (28) a. OK I'm really hungry, just ordered some food. ee
 - b. # just ordered some food, I'm really hungry.
- ⇒ not predicted if the emoji just communicated general happiness (ⓐ) or unhappiness (ⓒ) in the situation, as the facts don't change.

Evidence for text-emoji interaction II

• Also from GGDK: framing effects (see, e.g., Tversky & Kahneman 1981)

- (29) Context: we're watching college football; there are no ties; not winning equals losing. We know that our current chances are 50:50
 - a. OK There's a 50% chance we'll win.
 - b. # There's only a 50% chance we'll win.
 - c. OK There's a 50% chance we'll lose.
 - d. # There's only a 50% chance we'll lose. 😟
 - e. # There's a 50% chance we'll win. 😥
 - f. OK There's only a 50% chance we'll win.
 - g. # There's a 50% chance we'll lose. e
 - h. OK There's only a 50% chance we'll lose.

Case I: *only* switches ^{OK} to #

Digest:

Emojis are sensitive to linguistic material.

Case II: *only* switches # to ^{OK}

Commenting on propositions in context

- Summarizing what we know: Face emojis comment on a proposition p which they access through an anaphoric relation.
- They evaluate p in light of a contextually given value V.

unhappy face emoji addresses: does p demote my value V?

- (30) a. I've already eaten Θ (\mathbf{p} = the author has already eaten)
 - b. V =the author eats chili tofu p = entails $\neg V$
 - c. $\| = \lambda x \lambda p \lambda V$. {w | x is unhappy about how p bears on V at w}

happy face emoji addresses: does p promote my value V?

- (31) a. I've already eaten \bigcirc (\mathbf{p} = the author has already eaten)
 - b. **V** = the author has eaten **p entails V**
 - c. $[\odot] = \lambda x \lambda p \lambda V$. {w | x is happy about how p bears on V at w}

Face emojis can comment on propositions other than what is asserted

- (32) face emoji targets a presupposition (Grosz, Greenberg, De Leon & Kaiser 2021:10) Who drank my coffee?
 - → I'm unhappy that p = somebody drank my coffee
- (33) face emojis targets an expected answer
 - a. Isn't there some vegetarian restaurant around here? 😀
 - → I'm happy that p = there (plausibly) is a vegetarian restaurant around here
 - b. Is there no vegetarian restaurant around here? 😟
 - → I'm unhappy that p = there (plausibly) is no vegetarian restaurant around here

(example from Büring & Gunlogson 2000, based on Ladd 1981, see Krifka 2017; for further discussion, see Romero & Han 2004, Romero 2006)

Linking Emojis to Imperatives

How do emojis cue a certain speech act?

- Compare the disambiguating effects to the Emojipedia descriptions:
- (34) a. call me (≈ you must call me) Command/Warning
 - b. → "Conveys varying degrees of anger, from grumpiness and irritation to disgust and outrage. May also represent someone acting tough or being mean."
- (35) a. call me (≥) (≈ please call me) REQUEST
 - b. → "May convey a moderate degree of sadness or pain, usually less intensely than 😭 Loudly Crying Face."
- (36) a. call me € (≈ go ahead and call me) PERMISSION/INVITATION
 - b. → "Conveys a wide range of warm, positive feelings, including love, happiness, and gratitude."
- (37) a. call me (≈ okay, call me if you must) Concessive
 - b. → "commonly conveys moderate disdain, disapproval, frustration, or boredom. Tone varies, including playful, sassy, resentful, and sarcastic, as if saying Yeah, whatever."

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What do the face emojis comment on?

- Intuitively and pre-formally, emojis can comment on three targets:
- (38) a. call me **w** (disambiguates towards **Command/Warning**)
 - b. $\rightarrow sp$ (= speaker) is angry at ad (= addressee)
 - c. $\rightarrow sp$ is angry at the cause for [sp wants ad to call sp]
 - d. $\rightarrow sp$ is angry at the non-realization of [ad calls sp]

(In some contexts, (38b) and (38c) may be indistinguishable, but this is not universal, as we see on the next slide.)

Outline of a cue based explanation:

an angry face acts as a cue for a COMMAND/WARNING by eliminating two competing readings: REQUESTS nor PERMISSIONS/INVITATIONS are implausible in a situation in which a speaker is angry at one of the targets in (38b-d).

What is the status of these targets?

- Addressee-oriented readings, (38b), are generally available for face emojis (see also Maier 2021).
- As for (38d), the non-realization of the property expressed by the imperatives is a plausible condition for a non-trivial use of the utterance (see, e.g., Searle 1969:66; Lindner 1991:187).
- cf. Searle's (1969:66) second preparatory condition for *Request*: "It is not obvious to both *S* and *H* that *H* will do *A* in the normal course of events of his own accord."
- The inference in (38c) may require further inferencing, in the sense that wishes/desires are often motivated by superordinate goals.
- (38) a. call me **100** (disambiguates towards **COMMAND/WARNING**)
 - b. \rightarrow sp (= speaker) is angry at ad (= addressee)
 - c. $\rightarrow sp$ is angry at the cause for [sp wants ad to call sp]
 - d. $\rightarrow sp$ is angry at the non-realization of [ad calls sp]

- Not all face emojis have the same target.
 However, it is plausible that the same logic turns them into cues.
- (39) a. call me 69 (disambiguates towards PERMISSION/INVITATION)
 - b. \rightarrow *sp* feels positively wrt *ad*
 - c. \rightarrow sp feels positively wrt the cause for [sp wants ad to call sp]
 - d. (#) \neq sp feels positively wrt the non-realization of [ad calls sp]
- (40) a. call me (2) (disambiguates towards REQUEST)
 - b. (?) \neq sp feels sad for ad
 - c. \rightarrow sp feels sad about the cause for [sp wants ad to call sp]
 - d. \rightarrow sp feels sad about the non-realization of [ad calls sp]
- (41) a. call me (disambiguates towards Concessive)
 - b. \rightarrow *sp* disapproves of *ad*
 - c. \rightarrow sp disapproves of the cause for [sp wants[?] ad to call sp]
 - d. (#) \neq sp disapproves of the non-realization of [ad calls sp]

Conclusion

What do we know about illocutionary functions of face emojis?

- Face emojis (\odot , \odot , \odot) can disambiguate speech acts, as illustrated for imperatives.
- They share this property with natural language expressions that communicate use-conditional non-at-issue meaning (e.g., Boy, did we love them!)
- Face emojis can be argued to contribute an expressive meaning similar to what such natural language expressions convey.
- Their interactions with speech acts can thus be explained by modeling them as speech act cues.

Open question:

Facial expressions can directly encode speech acts (see, e.g. Kuhn & Chemla 2017); is there *potential* for face emojis to also encode, e.g., assertion vs. question acts? ("spinach \equiv " vs. "spinach \equiv ")

Thank you! 🙏

Collaborators



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Comments on Grosz 2022: "Speech acts, interjections and emojis: Revisiting communicative cues"

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May 20, 2022

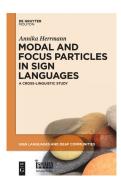
Section 1

Multidimensional meaning in a multidimensional form

Expressives

"Face emojis as expressives"

- ► English interjections (yay, alas, wow)
- ► German discourse particles (doch, wohl, ja, nur)



- How communicate discourse-related meanings in DGS, NGT, ISL?
- Conclusion for discourse particles ... is that there are none.
- ▶ Rather, use non-manual markers.
- ➤ One notable finding: similar non-manuals recur across these unrelated languages.
 - ► (E.g. eye-squint for shared knowledge.)

Expressives

Multidimensionality

Hypothesis: there is a linguistic pressure to employ multidimensional form to express multidimensional meaning.

- Even when grammaticalized, constructions with a multidimensional, non-interactive semantics more likely to have a non-interactive syntax with fewer pressures on linearization?
- = A good characterization of particles?

(Kuhn 2017, Review of Herrmann 2013, Sign Language & Linguistics)

Section 2

Encoding vs. cuing speech acts

Imperatives

"One area where face emojis seem to have a clear illocutionary effect is in connection with imperatives."

Brentari et al. (2018). Production and Comprehension of Prosodic Markers in Sign Language Imperatives. *Frontiers in Psychology*.

- ► Command, Explanation, Permission, Advice
- Signers of ASL

VS.

American non-signers, Signers of DGS, German non-signers

► Finding: All subject groups can deduce imperative type, with boost for ASL signers.

Imperatives

Question:

- Something special about imperatives (compared to indicatives, questions)?
- Or just a quirk of the literature that imperatives have been looked at in detail?
- ▶ E.g. Are these ever encoded by grammatical markers?

Motivation to test even coarser speech-act categories.

(Kuhn & Chemla 2017, Facial expressions and speech acts in non-signers)

In sign language, facial expressions can serve grammatical functions.

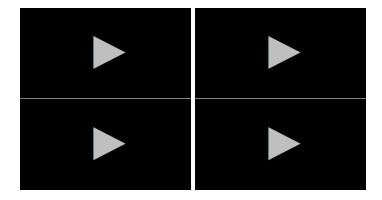
- ▶ headshake = negation
- brow raise = yes/no questions
- ▶ brow furrow = wh-questions
- **.**..

Question: Are such facial expressions used to indicate the kind of speech acts in spoken language, too?

"indicate" = encode? cue?

Multidimensionality Speech acts Irony Epistemic knowledge

Facial expressions and speech acts

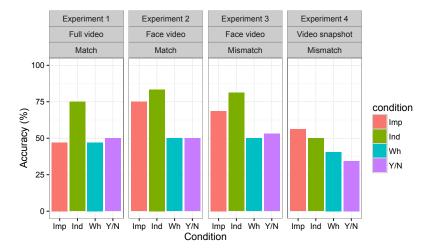


Choose the meaning:
What time is it?
It will take some time.

Are you keeping track of time? Stop now!

Multidimensionality Speech acts Irony Epistemic knowledge

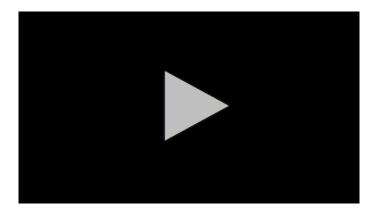
Facial expressions and speech acts



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Facial expressions and speech acts

What if they mismatch?



Facial expressions and speech acts

- "Facial expressions can directly encode speech acts (see, e.g. Kuhn & Chemla 2017); is there potential for face emojis to also encode, e.g., assertion vs. question acts?"
- "None of these markers encode optativity; they simply serve as cues for optativity."
- "Face emojis can comment on propositions other than what is asserted"

Multidimensionality

Section 3

Irony and contradictory cues

Irony

- ➤ The idea of special punctuation for irony goes back to at least the 16th or 17th century.
- Recent variations in online communication.
- Philos. Ironie socratique V. la partie encycl.
 Typogr. Point d'ironie, Signe particulier, proposé par Alcanter de Brahm, pour indiquer au lecteur les passages, les phrases ironiques d'un ouvrage, d'un article.
- Birvaca. Littér. L'ironic est, en richtorique, or un trope on une figure de pennée. Elle consiste, dans l'un et l'autre cas, à dire le contraire de ce qu'on pense, de telle manière que le lecteur ou l'auditeur comprenne le sens caché sous cette raillerie. 80n aprêter i s, 'L'hommo de bient s, en parlant d'un fripon, voilà la figure de mets. La figure de pensée commence des developpe en une suite de propesitions ou de phrases. Tell tirre de Gargarina, tel passessitions que hiraces care de l'autre de l'argarina.
- positions ou de phrases. Tel livre de *Gargantua*, tel passage de la satire *Ménippée*, telle lettre de Voltaire, les

- (1) a. What a productive meeting </sarcasm>
 - b. What a productive meeting;)
 - c. \sim What a productive meeting \sim
- (2) a. Another dull day in NY </sarcasm>
 - b. Another dull day in NY;)
 - c. \sim Another dull day in NY \sim

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Irony

Mantovan et al. (2019). Signing something while meaning its opposite: The expression of irony in Italian Sign Language (LIS). *Journal of Pragmatics*.

What markers of irony in LIS?

- ► Lexical sign: CIRCLE
- Prosodic cues: prolonged articulation, multiple head nods, lateral head tilts, raised eyebrows
 - Not specific to irony

Multidimensionality Speech acts Irony Epistemic knowledge

Irony

► Also: *Contradictory* facial expressions





FUN WORTHLESS

What a productive meeting w

Another dull day in New York



Irony

- ► Another example of 'cues'
- ▶ Irony \neq primitive?
- More general question:
 - ► Lexical primitives?
 - Cognitive primitives?

Section 4

Epistemic knowledge

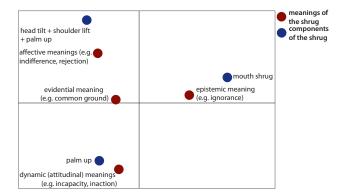
Epistemic knowledge



Epistemic knowledge

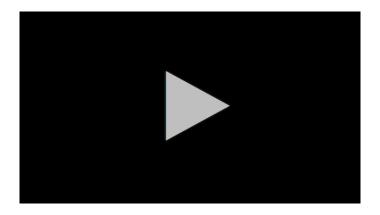
Debras (2017). The Shrug. Gesture.

► Speakers of British English



Kuhn, Geraci, Mantovan. "Low referentiality in LSF and LIS."

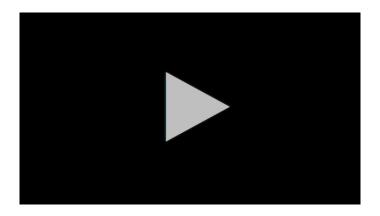
Epistemic knowledge: Frown :-(



(3) \[\frac{\sum \sum \text{SOMEONE/CL-PERSON} \right]}{\text{HOUSE POSS-1 ENTER.} \quad \text{(LIS)}} \]
The signer does not know the identity of the referent.

Multidimensionality Speech acts Irony Epistemic knowledge

Epistemic knowledge: eyegaze



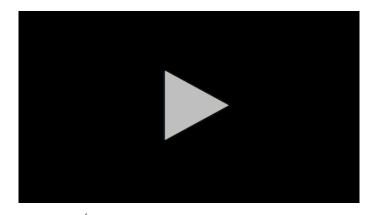
(4) \[\frac{\sqrt{SOMEONE/CL-PERSON}}{\text{Enter Poss-1 House.} \] (LSF) The signer has a particular person in mind.

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gaze

Multidimensionality Speech acts Irony Epistemic knowledge

Epistemic knowledge: :-(+ eyegaze



(5) SEE $\frac{:-(+\text{gaze})}{\text{CL-PERSON}}$.

'I saw someone.'

The signer has a particular person in mind, but does not know their identity.

Epistemic knowledge

(6) Diese Murmeln haben unter den Kindern
These marbles have among the children
untereinander irgendeinen bestimmten Wert,
among-each-other irgendein bestimmt value
Glasmurmeln zum Beispiel die Werte von zwei
glass-marbles for example the values of two
einfachen Murmeln.
simple marbles

'These marbles have certain values among the children, marbles made of glass, for example, have the same value as two simple marbles.'

(Ebert et al. 2009)